

Section 1: Overview of the QAIP

- Why do we need a QAIP in for internal audit?
- What is Quality... in internal audit?
- The Framework available and what to take into consideration
- Which IIA standards are important to follow and understand
- QAIP components
- Scenarios, role play and group activities

Section 2: Planning

- Defining roles and responsibilities
- Scope and objectives of the QAIP
- Using the planning guide
- Assessment scale

Section 3: Ongoing Monitoring

- Responsibilities of the engagement supervisor
- What needs to be done Exercise: Defining your methodology

Section 4: Periodic Self-Assessment

- Responsibilities of the Chief Audit Executive
- Developing a systematic and disciplined approach
- Main objectives and what needs to be covered Exercise: Providing actions following the self-assessment

Section 5: External Assessment

- Role of the Audit Committee
- Approach A: Full external assessment
- Approach B: Self-assessment with external validation
- How to prepare for an external assessment
- Linkage to the QAIP Exercise: Case study

Section 6: Reporting

- Assessment scales and their impacts
- What to report and when
- Considerations for small audit shops Exercise: So, we have a non-conformance ... what is happening now?